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## 1 Technical and operational requirements

Each generating unit (GU) intended to participate in tertiary control must demonstrate that it possesses the following performance characteristics.

### 1.1 Type of generating unit

The provider must specify the type of GU for each GU that is to participate in the primary control for the Swissgrid tender. The following two declarations are possible: conventional GU or virtual GU. If the GU is virtual, the provider submits a list of all substations, including their addresses (see the document Requirements of the List of Generating Units at [www.swissgrid.ch](http://www.swissgrid.ch)).

Requirement met	Yes	No	Comment No. _____
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### 1.2 Feed-in point

The provider must specify the place of feed-in (grid node) for each GU that is to participate in the tertiary control for the Swissgrid tender. This requirement is eliminated for GUs located in lower-level grids or for virtual GUs, provided that evidence is given that the necessary information about the place of feed-in cannot be obtained.

Requirement met	Yes	No	Comment No. _____
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### 1.3 Dynamic requirements concerning provision

The dynamic requirements concerning the provision of tertiary control power differ for negative and positive tertiary control power. The detailed principles are shown in the document «Principles of ancillary services products» (published at [www.swissgrid.ch](http://www.swissgrid.ch)). The necessary lead time for a tertiary energy request has to be specified by the provider.

Requirement met	Yes	No	Comment No. _____
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### 1.4 Technical limit of requisition

The provider must be capable of providing the entire amount of tertiary control power offered upon request from Swissgrid. Requests are for full bids i.e. it is not possible to request parts of bids.

Requirement met	Yes	No	Comment No. _____
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### 1.5 Request

Tertiary energy is requested by means of a request message from Swissgrid. The provider is technically able to receive and evaluate the request message and to delegate the GUs for the required tertiary control power.

Requirement met	Yes	No	Comment No. _____
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## 1.6 Subsequent schedule coordination

Requests for tertiary control power from an ASP are processed according to a 15-minute schedule. Swissgrid configures schedules on the working day following the request. Swissgrid spreads the portion of the request that falls within the 15-minute schedule that has already started over the entire 15-minute period, so that balance energy is not incurred by the provider.

The provider shall agree to the schedule-based processing described above and confirm that it has the necessary infrastructure for schedule management, and that it will submit the required schedules in good time in accordance with the rules of schedule management.

Requirement met	Yes	No	Comment No.____
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## 2 General regulations

### 2.1 Place of performance

The place of performance is the place at which tertiary control power is provided. Any grid utilisation charges and costs for balance energy arising from the provision of tertiary control power shall be borne by the provider.

Requirement met	Yes	No	Comment No.____
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### 2.2 Place of performance outside the Swiss control area

Where the place of performance is outside the Swiss control area, the necessary agreements must have been concluded with the responsible control area operator and their organisational and technical guidelines complied with.

Requirement met	Yes	No	Comment No.____
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### 2.3 Consultation with other grid operators and balance group managers

The balance group into which the GU feeds is to be specified for each GU to be used for tertiary control (in the case of a virtual GU, for each substation).(See the document Requirements of the List of Generating Units at [www.swissgrid.ch](http://www.swissgrid.ch)).

The provider is under obligation to coordinate all the technical and organisational measures required for the provision of control energy to Swissgrid with all third-party grid operators concerned (e.g. in the case of delivery from subordinate grid levels).

The provider must always furnish Swissgrid with appropriate evidence of this cooperation with all involved parties (e.g. grid connection, grid usage contracts and balance group contracts).

Requirement met	Yes	No	Comment No.____
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### 3 Legally binding declaration of the prequalifying party

The prequalifying party hereby declares that

- it has received the prequalification documents in their entirety,
- its queries have been answered with sufficient clarity,
- the information and documents it has submitted are correct and in accordance with the truth,
- the data transmitted in the form of data files correspond to the printed data and
- it is fully in agreement with the procedure described in the prequalification documents.

The prequalifying party is aware that

- in the event of successful prequalification, the prequalification documents it has submitted, including data files, will become part of the framework agreement governing the awarding of contracts for the provision of control energy and
- false statements and declarations made knowingly as regards expertise, efficiency and reliability may result in its exclusion from the subsequent tendering and contract awarding procedures as well as the cancellation without notice of any contracts awarded.

With the admission to prequalification, it undertakes to notify Swissgrid in writing immediately should any essential changes occur with respect to company or power data which have been provided as a basis for prequalification. It is aware that the incorrectness of the above declarations may lead to its company's exclusion from the future tendering and contract awarding procedures and result in the cancellation without notice, with good cause, of any existing framework agreement.

#### Signature

\_\_\_\_\_  
Location

\_\_\_\_\_  
Name:

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name: